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# Influence of defects and domain walls on dielectric and mechanical resonances in $\text{LiNbO}_3$

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## Abstract

Monodomain and periodically poled  $\text{LiNbO}_3$  crystals (congruent composition) show dielectric and piezoelectric resonances between 100 K and 900 K. Dielectric measurements show resonances in some samples between 10–100 kHz. These resonances vanish under thermal anneal in monodomain crystals while they remain stable in periodically poled samples with high domain wall densities. The low activation energy of 0.18 eV suggests their electronic (bi-polaronic) origin. Resonant piezoelectric spectroscopy, RPS, shows two features in virgin samples: a relaxation peak at 420 K and a rapid hardening when the sample was slowly heated to ~500 K. The dynamic relaxation and the hardening are related to excitations and reorientations of Li defects. The relaxations and hardening are irreversibly suppressed by high temperature anneal. We do not observe domain wall related RPS resonances in annealed samples, which excludes the existence of highly charged walls. We suggest that domain walls stabilize polaronic states with (bi-)polarons located inside or near to the ferroelectric domain walls.


Keywords: lithium niobate, domain wall, polarons

(Some figures may appear in colour only in the online journal)

## 1. Introduction

Domain walls can be functional elements of a material while the same functionality does not exist in the bulk. Typical examples are superconducting twin walls [1, 2], polarity and ferroelectricity in ferroelastic domain walls in  $\text{CaTiO}_3$  and  $\text{SrTiO}_3$  [3–5] and segregation of chemical species in domain walls [6]. The emerging field of domain boundary engineering

[2, 7] endeavors to develop such functional domain walls as device materials. A promising candidate is  $\text{LiNbO}_3$  which can show charged ferroelectric domain walls while such charges are absent in the bulk [8, 9]. The condition for charged walls is that they are inclined with respect to the equilibrium direction [10]. They have previously been observed in  $\text{Pb}(\text{Zr,TiO}_3)$  thin films [11] and calculations from Eliseev *et al* have shown that the static conductivity drastically increases at the inclined ‘head to head’ domain walls even for small incline angles [12]. It has also been amply demonstrated by techniques such as electron microscopy that charged domain walls, particularly in the most extreme case of a ‘head-to-head’ or ‘tail-to-tail’

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configuration, act as barriers for defect movement, creating regions of increased defect density [13–15]. Such inclined walls generate local strain in the bulk while walls in mechanical equilibrium are neither charged nor do they strain the lattice. Congruent  $\text{LiNbO}_3$  with non-inclined walls still contain significant defect structures [16–18] so that it can be speculated that these defects may decorate the walls and thereby generate functionality [19, 20]. For example, the presence of defects at domain walls, and especially oxygen vacancies, is proposed to play a role in the conduction observed at the walls in  $\text{BiFeO}_3$  and  $\text{Pb}(\text{Zr,TiO})_3$  [6, 21, 22]. The same mechanisms could apply for the observed photoconductivity in  $\text{LiNbO}_3$  [8]. Kinks in domain walls could also lead to local charges and may contribute to the piezoelectric response of the sample. (Self-)organized defects have recently been seen in  $\text{KTaO}_3$  where all defects are polar and act as one coherent dipole moment at sufficiently low temperatures [23]. It was the purpose of this work to explore whether  $\text{LiNbO}_3$  shows similar correlations between defects and domain boundaries.

$\text{LiNbO}_3$  is trigonal, belonging to the  $R\bar{3}c$  space group in the paraelectric phase. With the onset of ferroelectricity at  $T_c$  near 1483 K, the structure remains trigonal, but the inversion symmetry of the system is lifted, reducing symmetry to the  $R3c$  space group.  $\text{LiNbO}_3$  is hence ferroelectric but not ferroelastic below 1483 K. Domain structures consist exclusively of  $180^\circ$  ferroelectric walls which are—in good approximation—strain-free in thermodynamic equilibrium while local strains may originate from coupling between the polarization and secondary displacements [24, 25]. Non-equilibrium states in uniaxial ferroelectrics  $\text{LiNbO}_3$  and  $\text{LiTaO}_3$  are commonly observed as transient features when the polarity of the samples is inverted by an applied electric field [26–29]. Furthermore, congruently grown  $\text{LiNbO}_3$  is highly non-stoichiometric. The dominant defects are Nb-antisite defects, i.e. niobium on a lithium site ( $\text{Nb}_{\text{Li}}$ ), with a concentration of about 1%. Charge compensation is usually proposed to occur by creation of lithium vacancies  $V_{\text{Li}}$ , of which four are needed to compensate each  $\text{Nb}_{\text{Li}}$ . The actual stoichiometry of the crystal is thus  $[\text{Li}_{0.95}\text{Nb}_{0.01}]\text{NbO}_3$  [17, 18].

We employ dielectric spectroscopy to probe the influence of defects and domain walls on the dielectric properties. Several samples showed resonance features near 10 kHz. We assume these resonances not to be due to domain wall movement since domain walls remain pinned when low voltages are applied in  $\text{LiNbO}_3$  [30]. Samples were then examined using resonance piezoelectric spectroscopy (RPS). RPS measures all mechanical vibrations which can be excited by a weak electric field in the frequency range 100 kHz to 2 MHz. The resonances correspond to macroscopic standing strain waves, and their squared resonance frequency is proportional to the modulus of the excited mode (mainly shear waves). The temperature dependences seen in RPS are hence proportional to the temperature evolution of the effective modulus, independent of the absolute value of the resonance frequency. If polar defects exist, they are excited together with the bulk piezoelectricity and show temperature dependences which reflect the temperature evolution of the defects together with that of the bulk. Wall movements of strain-free  $180^\circ$  walls

**Table 1.** Main characteristics and experiments performed on the samples.

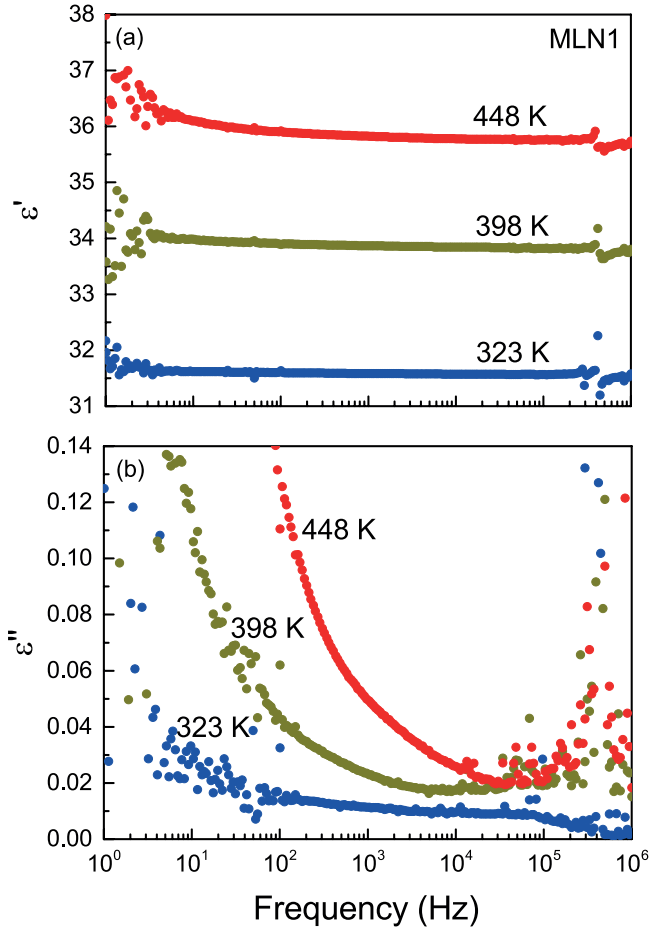
Name	Domain period ( $\mu\text{m}$ )	Supplier	Experiments
PPLNa	20.4	HC Photonics	Dielectric
PPLNb	28	EQ Photonics	Dielectric + RPS
MLN1	Monodomain	EQ Photonics	Dielectric + RPS
MLN2a	Monodomain	PI-KEM	Dielectric + RPS
MLN2b	Monodomain	PI-KEM	Dielectric
MLN2c	Monodomain	PI-KEM	Dielectric

in  $\text{LiNbO}_3$  do not contribute to piezoelectric resonances. We argue in this paper that defect-induced piezoelectric resonances exist in most virgin samples of  $\text{LiNbO}_3$  but that these defects can be eliminated by annealing below 900 K. We find a correlation between dielectric defect relaxations and the number of domain boundaries and conclude that domain walls stabilize and may even attract defects. We conclude that ferroelectric walls in  $\text{LiNbO}_3$  are defect-decorated and hence weakly charged.

## 2. Methods

Six samples of congruent single crystal  $\text{LiNbO}_3$  have been used for this work. A periodically poled single crystal, commercially available from HC Photonics Corps, labeled as PPLNa, had a domain period of  $20.4 \mu\text{m}$  (i.e. a domain wall density of  $98 \text{ mm}^{-1}$ ). A periodically poled single crystal with domain period  $28 \mu\text{m}$  (i.e. a domain wall density of  $71 \text{ mm}^{-1}$ ), labelled as PPLNb, and a monodomain single crystal, labelled as MLN1, were purchased from EQ Photonics GmbH. Samples MLN2a, MLN2b and MLN2c were purchased from PI-KEM Ltd and cut by ourselves from a single domain wafer. All samples had platelet geometry with  $\sim 5 \times 5 \times 0.5 \text{ mm}^3$  dimensions and short edges along the  $c$  direction. The monodomain wafers were poled by the manufacturer near the Curie temperature, without field-cooling. The poling process of the periodically poled single crystals is unknown, but conventionally done at room temperature or slightly above [31]. The characteristics of the samples are summarized in table 1.

Impedance spectroscopy was performed as a function of temperature with a Novocontrol Concept 40 dielectric spectrometer to study the evolution of the dielectric permittivity and loss. The samples were electroded with silver paste. For comparison, single measurements were also performed with sputtered gold electrodes, but since no significant effect of the electrode preparation on the results was observed, the painted silver electrodes were preferred as the less complicated preparation method. These measurements were made between 273 K and 473 K in 25 K steps, before RPS. They were repeated after RPS between 143 K and 573 K in 15 K steps on heating and cooling. The frequency range of the measurements was 1 Hz–1 MHz with an ac-voltage of 1 V (rms). Each spectrum contained 240 points, logarithmically spaced. The data were analyzed using the software GRAFIT (GrafityLabs). The

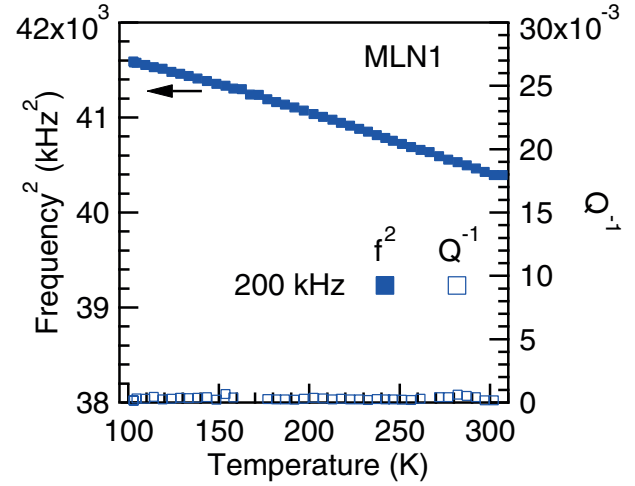


**Figure 1.** MLN1. (a) Real and (b) imaginary part of the permittivity as a function of the excitation frequency for temperatures between 323 K and 448 K.

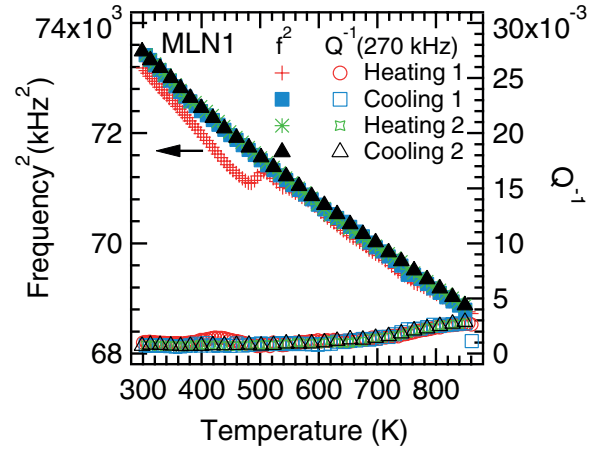
characteristic relaxation times of the dielectric relaxations were deduced from a fit using the Debye model.

RPS was performed between 100 K and 860 K. Initially samples were inserted into an open cycled (Orange) cryostat for measurements between 100 K and 300 K performed on heating in 5 K steps. For these measurements an ac-voltage of 25 V was applied across the large surfaces of the samples using silver paste as electrodes. Each spectrum contained 65 000 points between 10 and 1200 kHz. After low temperature measurements, a Netzsch furnace was used to perform RPS measurements between 300 K and 860 K in both cooling and heating sequences. These measurements were performed twice. In the first set of high temperature measurements, heating data were collected in 5 K steps while cooling data were collected in 10 K steps. In the second set, heating and cooling data were collected in 10 K and 20 K steps, respectively. Each spectrum contained 50 000 points between 50 and 1200 kHz. For high temperature measurements an ac-voltage of 10 V was applied to the sample electrodes.

RPS data were analyzed using the software package IGOR PRO (WaveMetrics). Peak frequencies  $f$  and full widths at half maxima (FWHM)  $\Delta f$  of selected resonances were determined by fitting with an asymmetric Lorentzian profile. These values were used to determine mechanical damping (or, inverse mechanical quality factor)  $Q^{-1}$ , which can be expressed as



**Figure 2.** MLN1. Temperature evolution of squared frequency  $f^2$  and mechanical damping  $Q^{-1}$  for a resonance near 200 kHz.



**Figure 3.** MLN1. Temperature evolution of the squared frequency  $f^2$  and mechanical damping  $Q^{-1}$  associated with a resonance peak near 270 kHz.

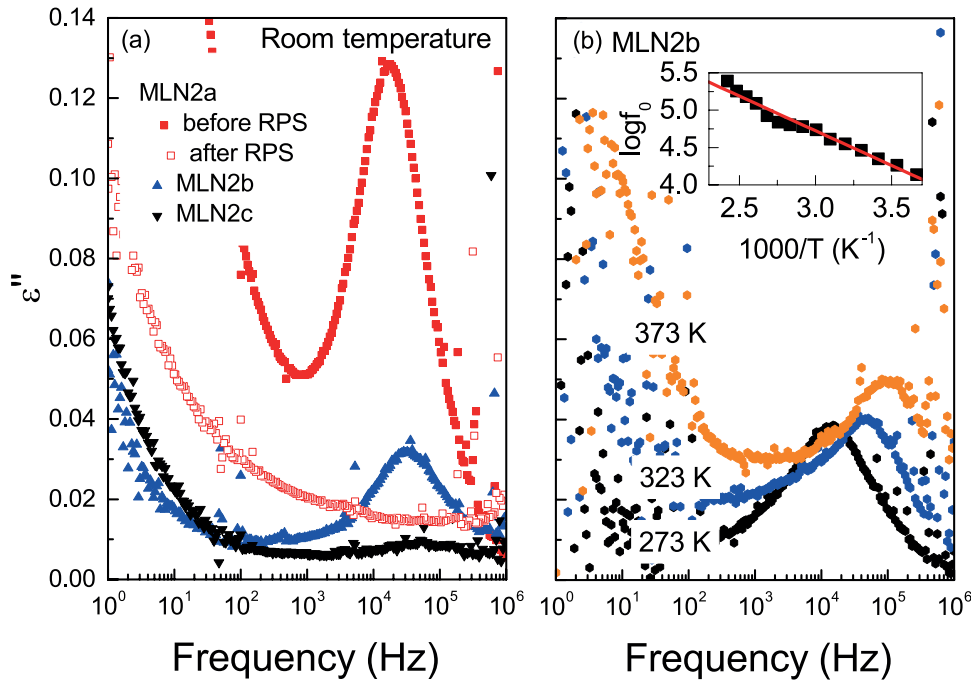
$\Delta f/f$ . Since the frequency of the macroscopic standing waves depends on the dimensions, density, and orientation of the sample [32], samples with different dimensions will have different resonant frequencies. Therefore, in our analysis, we selected those resonant peaks with the highest amplitudes and those that do not interact with other resonances to obtain  $Q^{-1}$  and  $f$  values more accurately.

### 3. Results

#### 3.1. Defects in thermal equilibrium: MLN1

Figure 1 details the temperature evolution of the permittivity in MLN1. Figure 1(a) shows the real part of the permittivity  $\epsilon'$  and figure 1(b) the imaginary part  $\epsilon''$  at 323 K (blue), 398 K (green) and 448 K (red).  $\epsilon'$  remains flat between 1 Hz and  $10^6$  Hz.  $\epsilon''$  is increasing with decreasing frequencies.

The temperature dependences of the squared frequency  $f^2$  and mechanical damping  $Q^{-1}$  of an RPS resonance in MLN1 at 200 kHz are presented in figure 2 for the temperature range between 100 K and 300 K. The squared frequency  $f^2$  increases



**Figure 4.** (a) Imaginary part of the permittivity at room temperature for MLN2a (before and after RPS), MLN2b and MLN2c. (b) Imaginary part of the permittivity at 273 K, 323 K and 373 K for MLN2b. (inset) Arrhenius fit with an activation energy of 0.18 eV.

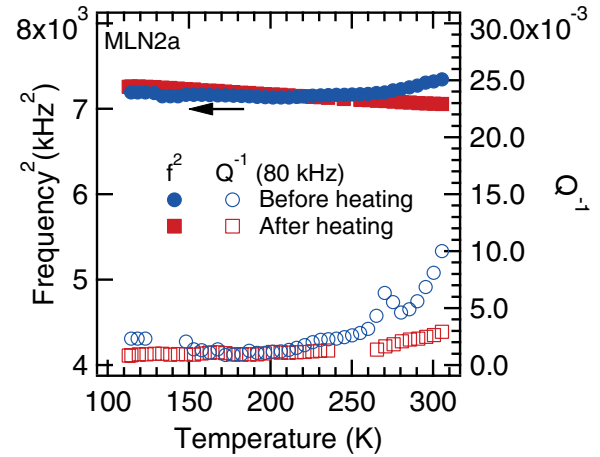
linearly with decreasing temperature. Mechanical damping for this resonance remains low and nearly the same in the whole temperature range. The RPS measurements at low temperatures hence show the classical behavior of a defect-free sample, with stiffening on cooling.

The high-temperature RPS data of MLN1 during the first and subsequent heating and cooling runs are compared in figure 3. Data for a resonance located around 270 kHz obtained during first heating shows a peak in the mechanical damping ( $Q^{-1}$ ) around 420 K accompanied by a step of  $f^2$  at around 500 K where  $Q^{-1}$  starts to deviate from the background. The two sets of measurements were performed without removing the sample from the furnace. After the first heating the peak near 420 K did not reoccur.

### 3.2. Defect variations: MLN2a, MLN2b and MLN2c

Impedance spectroscopy of samples MLN2a, MLN2b and MLN2c shows variable behavior even though these samples have been cut from the same wafer. Figure 4(a) shows the room-temperature  $\epsilon''$  for the three samples. The red curve is the data for MLN2a. It shows a relaxation with a maximum near 30 kHz and a large increase of  $\epsilon''$  at low frequency. After annealing during high-temperature RPS, the relaxation has disappeared and the increase at low frequency has diminished.  $\epsilon''$  for MLN2b is depicted in the blue curve. It shows a similar relaxation with a maximum near 30 kHz, even though the height of the peak is reduced. This relaxation in both MLN2a and MLN2b has a semi-circular shape on a Cole–Cole plot (not shown). The black curve shows  $\epsilon''$  for MLN2c without any relaxation peak.

Figure 4(b) shows  $\epsilon''$  for MLN2b at 273 K, 323 K and 373 K. The relaxation is shifted to higher frequencies on



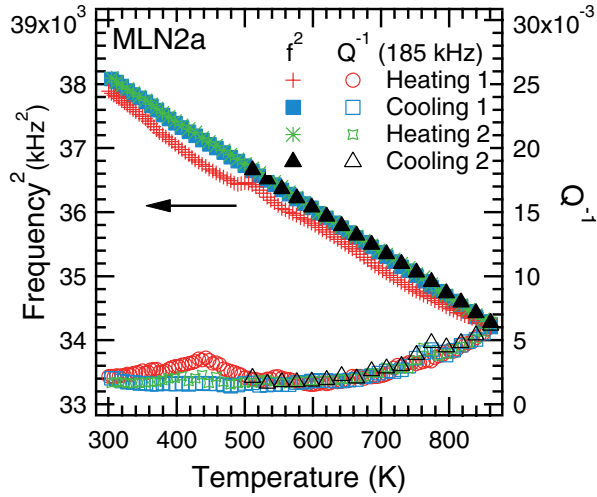
**Figure 5.** MLN2a. Temperature evolution for  $f^2$  and  $Q^{-1}$  for a resonance near 80 KHz determined before and after heating the sample to 860 K for high temperature RPS measurements.

heating. As shown in the inset, the temperature-dependence of the relaxation frequency is well described by an Arrhenius law with an activation energy of 0.18 eV.

RPS measurements of MLN2a were performed between 100 K and 300 K and repeated after (two) high temperature RPS experiments at temperatures up to 860 K. Values for  $f^2$  and  $Q^{-1}$  for a peak near 80 kHz determined from these measurements are presented in figure 5. The states before and after heating differ in  $f^2$ . Before heating to 860 K,  $f^2$  shows elastic softening at ~230 K that vanishes after high-temperature anneal. There is also a clear reduction of  $Q^{-1}$  between 250 and 300 K after heating compared with the data before heating.

Results from two high-temperature RPS experiments of MLN2a are compiled in figure 6. A peak in mechanical damping  $Q^{-1}$  near 420 K is similar to that in MLN1 and is





**Figure 6.** MLN2a. Temperature evolution of the squared frequency  $f^2$  and mechanical damping  $Q^{-1}$  associated with a resonance peak near 185 kHz.

followed by an increase of  $f^2$  near 500 K in the first heating run. None of these features exist in the second experiment.

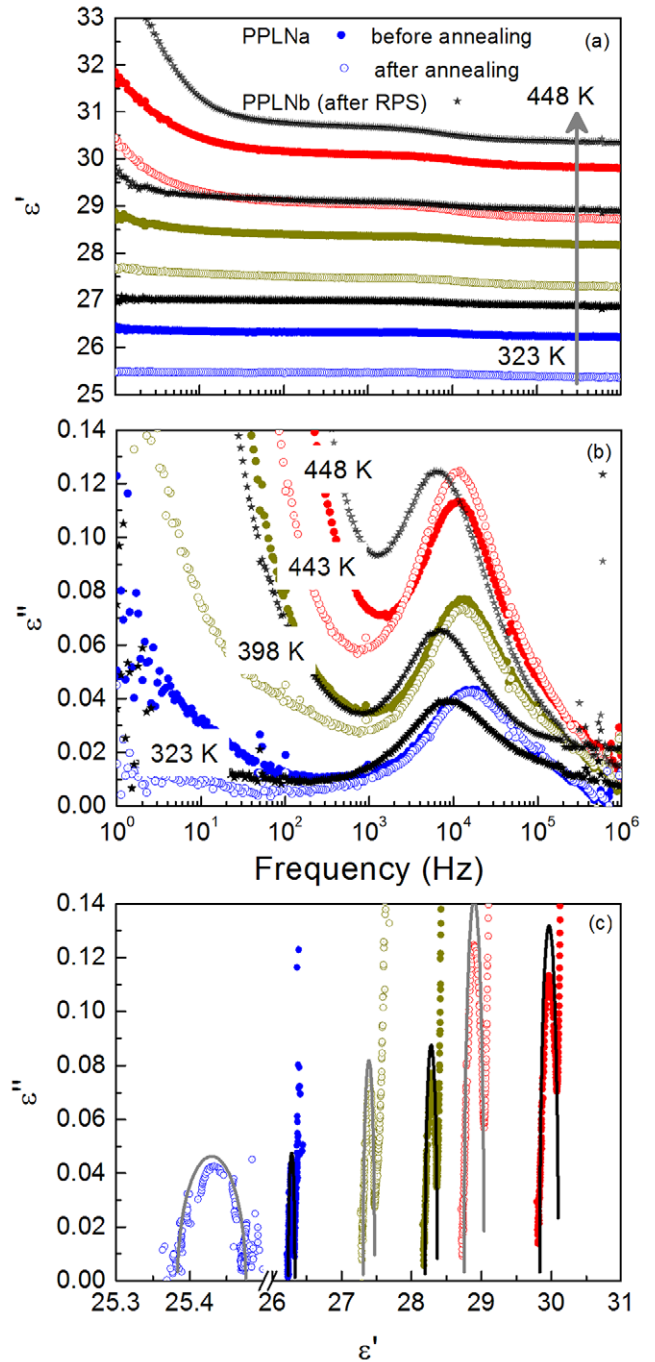
### 3.3. Periodically poled lithium niobate

Figure 7 details the temperature evolution of the permittivity in PPLNa and PPLNb. Figure 7(a) shows the real part of the permittivity at 323 K, 398 K and 448 K for data before high-temperature measurements; dielectric spectra at 323 K, 398 K and 443 K are shown for measurements after high-temperature experiments—up to 473 K for PPLNa and 860 K for PPLNb. A change in the slope is visible near 10 kHz. Figure 7(b) shows the imaginary part of the permittivity at the same temperatures. A peak with a maximum near 10 kHz is observed; its position is nearly temperature-independent. This peak was observed in all measurements up to 473 K. At higher temperatures it was hidden by the increase of conductivity. A slight shift of the peak is observed between PPLNa and PPLNb. The low frequency tail depends on electrodes and is not considered any further. Figure 7(c) shows the Cole–Cole plots (imaginary versus real part of the permittivity) for PPLNa. The relaxation is the same before and after high-temperature experiments. The left end of the curves corresponds to high frequencies. The semicircles obtained were fitted with the Debye relaxation:

$$\varepsilon = \varepsilon_{\infty} + \frac{\varepsilon_s - \varepsilon_{\infty}}{1 + 2i\pi f\tau} \quad (1)$$

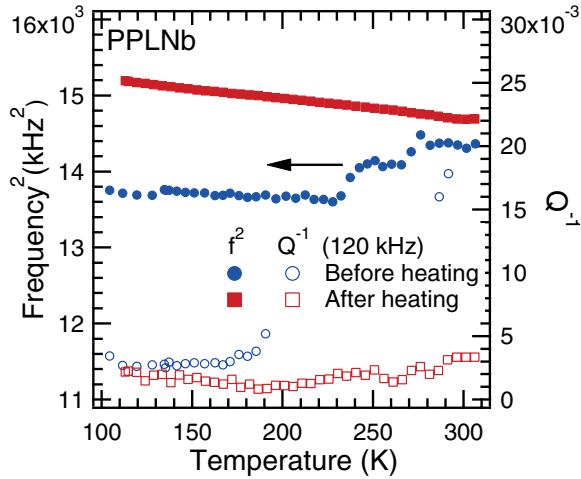
where  $\varepsilon_{\infty}$  is the permittivity at the high frequency limit,  $\varepsilon_s$  is the low frequency static permittivity,  $\tau$  is the characteristic relaxation time of the dipoles. As shown in figure 7(c), the fit is in agreement with the experimental data.

Low temperature RPS measurements of the PPLNb sample were performed before and after heating to 860 K. The temperature dependences of the squared frequency and mechanical damping of selected resonances are presented in figure 8 for the temperature range between 100 K and 300 K. The blue symbols show that  $f^2$  and  $Q^{-1}$  decrease on cooling to ~220 K. No



**Figure 7.** PPLNa and PPLNb. (a) Real part and (b) imaginary part of the permittivity as a function of the excitation frequency for temperatures between 323 K and 448 K (before and after high temperature measurements). PPLNa. (c) Cole–Cole representation. Solid lines represent the Debye fit.

phase transition has been reported for  $\text{LiNbO}_3$  in this temperature range so that softening has nothing to do with a collective transition. After (two) high temperature RPS measurements up to 860 K,  $f^2$  data do not indicate any further elastic softening on cooling (figure 8, red symbols). There is also a clear reduction of  $Q^{-1}$  between 170 and 300 K in comparison with earlier low temperature measurements of the un-annealed sample. However, it is worth noting that for the first measurement,



**Figure 8.** PPLNb. Temperature evolution for  $f^2$  and  $Q^{-1}$  for a resonance near 120 kHz determined before and after heating the sample to 860 K for high-temperature RPS measurements.

between 200 and 250 K, another resonance is interfering with the one analyzed, leading artificially to larger values of  $Q^{-1}$ .

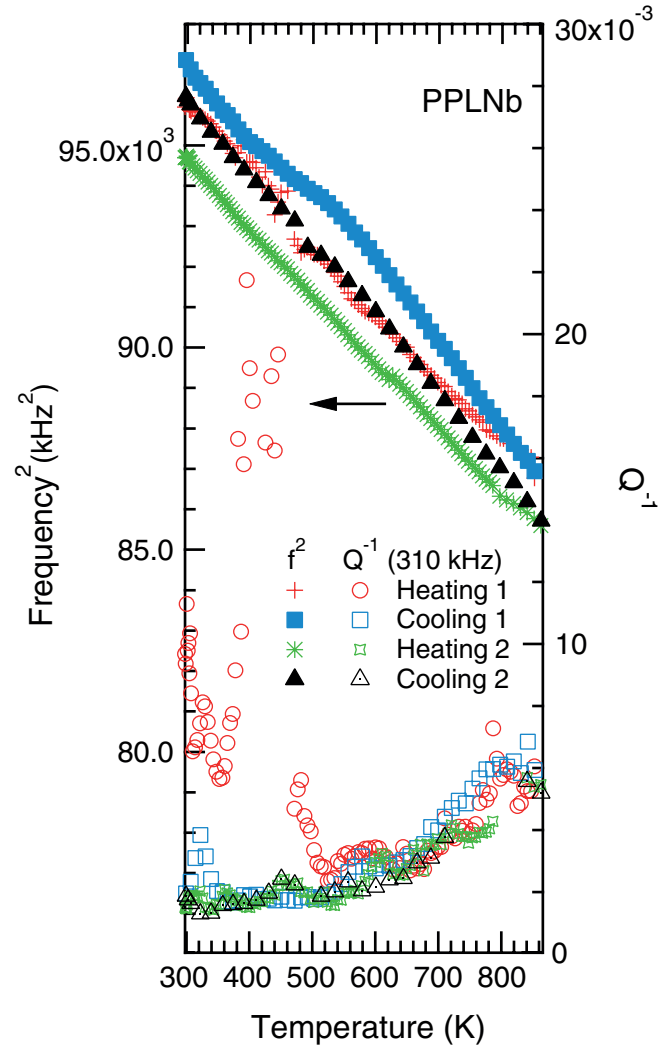
RPS data of the first high temperature heating and subsequent measurements of PPLNb are compared in figure 9. Resonance frequencies near 310 kHz of the first heating show a slight softening in  $f^2$  with decreasing temperature and a peak in the mechanical damping ( $Q^{-1}$ ) around 420 K. These effects are absent in the first cooling data (blue symbols) but a broad maximum in  $f^2$  appears near 500 K (filled blue squares). Subsequent heating and cooling measurements (second set, green and black symbols) show no anomalies of the temperature evolution of the resonance frequencies and elastic damping. However, the rate of increase in  $f^2$  for decreasing temperature is different between the four data sets, leading to a zigzag pattern in the temperature evolution of  $f^2$  when all data sets are combined.  $f^2$  from the first cooling measurements and that from the second heating data (green stars) do not coincide for the peak near 310 kHz because the sample was removed from the RPS holder and remounted. Different amounts of silver paste were used in the two measurements, which could explain the discrepancy since  $f^2$  decreases with increasing sample mass.

#### 4. Discussion

A comparison of RPS damping during the first high temperature heating (red symbols in figures 3, 6 and 9) of the three samples is made in figure 10. The activation energies of  $Q^{-1}$  due to a relaxation process can be calculated using [33]:

$$Q^{-1} = Q_m^{-1} \left\{ \cosh \left[ \frac{E_a}{Rr_2} \left( \frac{1}{T} - \frac{1}{T_m} \right) \right] \right\}^{-1} \quad (2)$$

where  $Q_m^{-1}$  is the maximum of mechanical damping,  $E_a$  is the activation energy,  $R$  is the gas constant, and  $r_2$  is the spectral width. Here  $r_2$  is a measure of the spread of relaxation times (activation energies) involved in the process. It indicates whether the peak in  $Q^{-1}$  stems from a single relaxation peak



**Figure 9.** PPLNb. Temperature evolution of the squared frequency  $f^2$  and mechanical damping  $Q^{-1}$  associated with a resonance peak near 310 kHz.

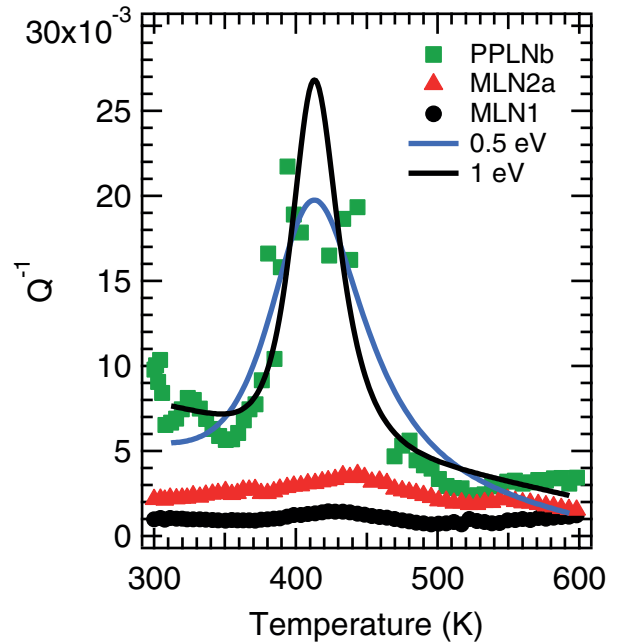
( $r_2 = 1$ ) or the product of several overlapping peaks with multiple relaxations at different frequencies and temperatures. The activation energies are found to be in the range 0.5–1 eV.

The resonance peak near 420 K is complemented by an increase of the moduli near 500 K. This hardening of the shear modulus is irreversible and leads to values which characterize monodomain samples under heating and cooling conditions. Following the interpretation of Gopalan and Gupta [27] of rapid polarization changes in  $\text{LiTaO}_3$  we assume that  $\text{LiNbO}_3$  equally orients its local polar defects along the dominant polarization direction near 500 K. Once these local dipoles are aligned they no longer change in monodomain samples. This alignment is preceded at 420 K by the weak relaxation peak where local dipoles resonate with the weak electric field and give rise to damping of the piezoelectric response. This effect is very strong in the periodically poled sample ( $Q^{-1} = 22 \times 10^{-3}$ ) while the effect is one order of magnitude smaller in samples without domain wall structure. We can conclude that domain walls enhance this resonance while thermal anneal still destroys it.

When the high temperature RPS measurements are repeated, the elastic relaxation disappears, indicating that annealing of the samples resulted in the settling or redistribution of defects. In PPLNb we found some very small variations in  $f^2$ . This results mirrors recent work by Biancoli *et al* [34] on solid solutions of BaTiO<sub>3</sub> and SrTiO<sub>3</sub>. They found that although thermally stimulated current measurements do not show evidence of space charges when the sample was cooled immediately after heating, if the measurements are repeated after several days, these anomalies re-establish themselves, hence reinforcing the built-in polarization due to a preferred orientation of dipoles even in polycrystalline ceramics. Because the second set of heating and cooling measurements on PPLNb were performed approximately one week after the first set of RPS measurements in heating and cooling cycles, it is likely that a few polar dipoles were reoriented during that time interval.

Dielectric measurements on MLN1 prove that it is possible to obtain a relaxation-free spectrum from a monodomain sample with intrinsic defects of congruently grown LiNbO<sub>3</sub>. This is in agreement with previous dielectric measurements [35, 36]. Samples MLN2a and MLN2b are also monodomain samples but show Debye relaxations near 10 kHz which are very similar to that of the PPLN. These relaxations vanish after high temperature treatment (figure 4). Optical microscopy did not reveal domain walls and PFM measurements at various sites across the sample surface were compatible with the monodomain state. It is not excluded that antiparallel domains exist, but their volume fraction is far too low to account for the observed dielectric relaxation. In the PPLN sample, the intrinsic defects are stabilized against thermal anneal by domain walls while in monodomain MLN2a and MLN2b this stabilization is absent and the defect-induced relaxation is destroyed by heating. A possible explanation is the compensation of local charged defects by migration of intrinsic defects in congruent LiNbO<sub>3</sub> such as lithium vacancies, which become mobile already at moderate temperatures around 420 K [37]. This also explains the absence of the dielectric relaxation in MLN2c: the chemical composition is identical to MLN2a and MLN2b, which have been cut from the same wafer. However, the fragile local defect structure that causes the dielectric relaxation may have been altered in MLN2c, e.g. by uncontrolled heating during machining. Influence of annealing on defects and dielectric properties had already been observed in iron-doped [36] and magnesium-doped lithium niobate [38].

The main result is hence that the permittivity of PPLN shows a strong Debye relaxation with a maximum near 10 kHz (figure 7) that does not change when the sample is annealed at 860 K. The relaxation is unlikely to stem from the motion of the domain walls themselves which would lead to much more complex relaxation pattern. Furthermore, the coercive field in LiNbO<sub>3</sub> is very large and domain walls are effectively pinned at the electric field strength employed in this study [30]. The dielectric relaxation in PPLN may be electronic or ionic in origin. However, since all the samples are of congruent composition, variations in the stoichiometry between samples cannot account for the observed relaxation. Two observations



**Figure 10.** Mechanical damping in PPLNb, MLN1, and MLN2a samples obtained during the first high temperature measurements in heating sequences (presented in figures 3, 6 and 9). The blue and black lines correspond to a fit with an activation energy of 0.5 eV and 1 eV, respectively.

confirm this point: (i) the relaxation is observed in two PPLN from different suppliers (ii) MLN1 has a relaxation-free spectrum, while it comes from the same supplier as PPLNb. The different domain wall densities in PPLNa and PPLNb did not have any apparent influence on the dielectric behavior; the slightly higher permittivity for the sample with fewer domain walls is within the error margin of the experiment. It is worth noting that the small shift in the imaginary part of the permittivity between PPLNa and PPLNb might be due to minuscule changes in composition or defect structure. We can compare the behavior of LiNbO<sub>3</sub> with highly twinned leucite [39] where small movements of ions were correlated within individual domain sizes. Experimentally, the dielectric relaxation in leucite shows a frequency maximum which changes with changing size of the domains. While it is tempting to explain the dielectric resonance peak in PPLNb similarly, we find that the activation energy is very small, 0.18 eV. This value is smaller than the energies needed for a long range motion of ions in lithium niobate: ionic conductivity of lithium, hydrogen or oxygen vacancies is associated with much larger activation energies of 1–1.25 eV [37, 40, 41]. The origin of the relaxation is therefore likely to be of electronic nature. It would be compatible with the bi-polaron energy of  $E = 0.27$  eV discussed by Schirmer *et al* [42] provided that the hopping energy is  $E/2$ , as usually assumed for (bi-)polarons. In addition, it is possible that MLN2a and MLN2b contain ionic defects induced by the congruent composition, but that these defects are in a fixed non-equilibrium configuration after high-temperature poling and do no longer contribute to the dielectric relaxation after anneal. This agrees with the observation that the single-domain sample MNL1 shows no dielectric resonance, nor any



piezoelectric anomaly, despite the Li deficiency of the congruently melting sample.

## V. A simple model

The dielectric relaxation both in monodomain and periodically poled  $\text{LiNbO}_3$  arises from non-equilibrium charged polaronic states such as  $\text{Nb}_{\text{Li}}^{4+}$  polarons. Annealing single domain samples leads to a rearrangement of the defect structure, particularly the Li vacancies, that causes the relaxation to vanish. In the PPLN sample, ferroelectric  $180^\circ$  domain walls stabilize the charged defect structures. The charged states apparently do not contribute to dc conductivity [8]. The question is how the nominally uncharged and unstrained domain walls can stabilize the defect structure: the walls are not inclined [10] and do not share the high concentration of carriers as seen in transient domain states before thermal anneal. Heating a sample eliminates most defects and increases the shear modulus of the sample while the wall-related dielectric anomaly persists. It is likely, therefore, that the charged defects are located at or near ferroelectric domain walls. We can now speculate which geometrical domain wall pattern would generate such defects. Experimentally the overall orientation of the walls is parallel to the crystallographic  $c$ -axis. This does not mean that local wall bending is impossible, in particular if the domain walls are some unit cells wide. Similar geometries are observed on  $\text{LiNbO}_3$  surfaces when domain walls are pinned at defects [43, 44]. Such ‘wide’ walls could meander in the matrix whereby each bending would lead to a head-to-head or tail-to-tail configuration of the dipoles in the adjacent matrix. Such bends would be energetically favorable in positions where the resulting bound charges can be locally compensated, i.e. at positions where charges already exist in the form of charged polaronic states. The polaronic state and the local deformation of the domain wall would mutually stabilize one another. Following this idea we anticipate that ferroelectric walls in  $\text{LiNbO}_3$  are decorated and weakly charged. We now compare dielectric resonances in periodically poled samples with the transient resonances in monodomain samples and find that both have the same relaxation frequency. The monodomain defects are commonly believed to be related to charge Nb-antisites where the defect dipole can be aligned with the spontaneous polarization at moderate temperatures [37]. It is possible, therefore, that the charged walls contain a similar local defect configuration. This would mean that bending a domain wall in  $\text{LiNbO}_3$  would imply the generation of such polar, topological point defects including the switch of Li positions. This stabilization effect of the domain walls is also visible in the lack of temperature dependence of the relaxation frequency in the PPLN sample. The fundamental relaxation frequency in the monodomain and polydomain samples is very similar, but the relaxation frequency in the first one increases slightly with increasing temperature, resulting in an activation energy of 0.18 eV. In the PPLN sample, there is no such temperature dependence. Further work using high-resolution transmission electron microscopy is highly desirable to clarify the proposed model.

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